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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicants:** Atsushi Kato

**Atty. Docket No.:** 075834.00447

**Serial No.:** 10/714,021

**Group Art Unit:** 1773

**Filed:** November 13, 2003

**Examiner:** Kevin M Bernatz

**Invention:** MAGNETIC RECORDING MEDIUM WITH DUAL MAGNETIC LAYERS INCLUDING SPECIFIC RESINS

**Customer No.:** 33448

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR:

In accordance with 37 CFR §§1.97, 1.98, Applicants and the undersigned attorney hereby submit a legible copy of each reference listed on the accompanying form PTO/SB/08A.

**CERTIFICATION UNDER 37 C.F.R. §1.97(e)**

Applicants hereby certify, as required under 37 C.F.R. §1.97(c)(1) and §1.97(e), that each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement, and thus no fee is required for consideration thereof. A copy of the communication from the foreign patent office is enclosed for the convenience of the Examiner.

The undersigned also points out that the submission of each reference is neither a concession, nor an admission, that the reference qualifies as prior art for this application

under the patent laws of the United States. Applicants reserve the right to challenge the status of any such document that the office determines to be prior art.

Should the Examiner believe a fee is required, the United States Patent and Trademark Office is hereby authorized and requested to charge any required fee to the deposit account of Rockey, Depke & Lyons, LLC, Deposit Account No. 50-3891.

Respectfully submitted,

Date:

4/22/09

Robert J. Depke, (Reg. 37,607)

**ROCKEY, DEPKE & LYONS, LLC**

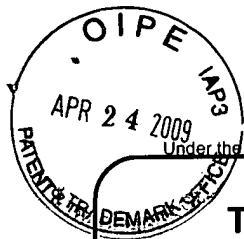
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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/714,021
Filing Date	Nov 13, 2003
First Named Inventor	Atsushi Kato
Art Unit	1773
Examiner Name	Kevin M Bernatz
Attorney Docket Number	075834.00447

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement  <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO/SB/08A, cited references, and a postcard.
<div>Remarks</div> <p><b>The Commissioner is hereby authorized to charge any fees due or to credit any overpayment to Deposit Account No. 50-3891.</b></p>		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Rockey, Depke & Lyons, LLC		
Signature			
Printed name	Robert J. Depke		
Date	4/22/07	Reg. No.	37,607

### CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Robert J. Depke	Date	4/22/07

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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